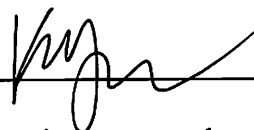


Mayor's Office: Council Agenda Item Request Form
*This form and supporting documents (if applicable) are due the Wednesday
before the COW meeting by noon.*

| | |
|--------------------------------------|--|
| Date Received (office use) | |
|--------------------------------------|--|

| | |
|--|--|
| Date of Request | 4/4/2018 |
| Requesting Staff Member | Anna Vukin-Chow |
| Requested Council Date | 4/10/2018 |
| Topic/Discussion Title | Mayor's Contribution Fund |
| Description | Contribution of \$1000 to help support the Raymond S. Uno Legacy Celebration. Proceeds from the event will be used for the acquisition and processing of Utah's ethnic history archives under the umbrella of the Judge Raymond S. Uno Legacy Archive. |
| Requested Action¹ | Consent |
| Presenter(s) | |
| Time Needed² | |
| Time Sensitive³ | |
| Specific Time(s)⁴ | |
| Contact Name & Phone | Anna Vukin-Chow (ext. 8-7031) |
| Please attach the supporting documentation you plan to provide for the packets to this form. While not ideal, if supporting documents are not yet ready, you can still submit them by 10 am the Friday morning prior to the COW agenda. Items without documentation may be taken off for consideration at that COW meeting. | |

Mayor or Designee approval: _____



¹ What you will ask the Council to do (e.g., discussion only, appropriate money, adopt policy/ordinance) – in specific terms.

² Assumed to be 10 minutes unless otherwise specified.

³ Urgency that the topic to scheduled on the requested date.

⁴ If important to schedule at a specific time, list a few preferred times.

April 10, 2018

The Honorable County Council
2001 S. State Street, Suite N2200
Salt Lake City, Utah 84190

Attn: Amy Winder Newton, Chair

Re: Community Contribution Recommendation

Council Members:

I have reviewed and approved the Salt Lake County Contribution Review Committee's recommendations for the following community contribution amount under the Mayor's Contribution Fund, subject to the ratification of the County Council as outlined in county ordinance:

| Entity | Granted |
|--|-----------------|
| The Special Collections Division (University of Utah's J. Willard Marriott Library) | \$1,000.00 |
| | <hr/> |
| | \$1,000.00 Cash |

Purpose: To support the Special Collections Division at the University of Utah's J. Willard Marriott Library. This contribution will be made specifically to help sponsor The Raymond S. Uno Legacy Celebration. Proceeds will be used for the acquisition and processing of Utah's ethnic history archives under the umbrella of the Judge Raymond S. Uno Legacy Archive.

This contribution is approved under the authority of **County Wide Policy 1200:**

- 2.9 Public Purpose - Salt Lake County government's authority or responsibility to promote the safety, health, prosperity, moral well-being, peace, order, comfort, or convenience of County inhabitants.

This contribution will be appropriated in the Salt Lake County Mayor's 2018 budget. Please place this item on your next available agenda for action. Thank you for your help in this matter.

Sincerely,

Ben McAdams
Mayor, Salt Lake County



APPLICATION FOR CONTRIBUTION

NAME OF ORGANIZATION: Special Collections, J. Willard Marriott Library, University of Utah
ADDRESS: 295 S. 1500 E.
CITY: Salt Lake City STATE: UT ZIP CODE: 84112-0860
CONTACT PERSON: Gregory C. Thompson PHONE NUMBER: 801-581-8046 EMAIL: greg.c.thompson@u

ORGANIZATION OVERVIEW (which could include mission, history, and demographics served):

The Special Collections Division of the J. Willard Marriott Library, The University of Utah's mission is to serve the students, faculty and community at large as a research facility and repository of information on Utah and the Intermountain Area. The Raymond S. Uno Legacy Archive was established to archive the history of the ethnic communities that make up the state's rich culture.

Independent audit can be found here:
https://auditor.utah.gov/news/recent_audit_reports/

TYPE OF REQUEST: Money ☒ In-Kind ☐

Have you previously requested money from SLCo?

If yes, when and how much (previous three years)? _____

What is the amount of your request? \$ 1,000.00

The amount you are requesting is 0.00% of your annual agency budget.

What is the purpose of the money you are requesting?:

To support the acquisition, processing of Utah's ethnic history archives under the umbrella of the Judge Raymond S. Uno Legacy Archive

PLEASE ATTACH:

- ☒ Copy of 501(c)(3)
- ☒ Copy of independent audit. If you do not have one, please enclose a copy of current financial statements.

You will be expected to report to the Salt Lake County Mayor on how the money was used and the success of the project.

The undersigned hereby acknowledges that he or she has authority to bind the organization listed in the application. The applicant accepts the following terms and conditions as a condition of receiving and using County funds or the waiver of fees: County funds will be used solely for the purposes approved by the Mayor of Salt Lake County as applied for in this application. Any expenditure for purposes other than those approved will require a return of the entire grant amount and may disqualify the grantee from receiving any additional County funds. It is further understood that no grant fund will be made available to any County officer or employee or in violation of the requirements of the Public Employees Ethics Act (67-16-1 et seq.). No grant funds will be used for political or campaign purposes. As a further condition of the grant, all County funds may be subject to an audit as required by Salt Lake County. The applicant is required to complete the Disbursement of Funds Report Form for contributions more than \$2,500.

Dated this 3 day of 2018, 2018

Applicant Gregory C. Thompson

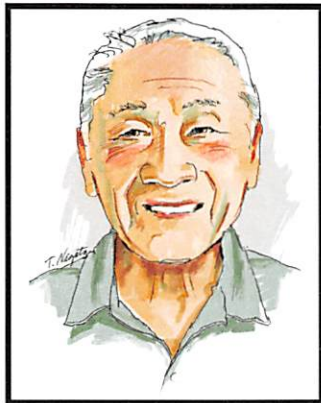
Digitally signed by Gregory C. Thompson
Date: 2018.04.03 10:01:04 -06'00'

*The J. Willard Marriott Library, Special Collections
The University of Utah and
The Raymond S. Uno Legacy Celebration Committee
Invite you to attend*

THE RAYMOND S. UNO LEGACY CELEBRATION

5:30 pm Thursday April 26, 2018

**Cottonwood Country Club
1780 E. Lakewood Drive, Holladay, UT 84117 (approx. 5500 S)**



Please join us to honor and celebrate the lifetime achievements of Judge Raymond S. Uno, ret.

The Raymond S. Uno Celebration is named in honor of Judge Raymond S. Uno, ret. The annual celebration pays tribute to Judge Uno's lifetime efforts as an advocate of human and civil rights, especially social justice for all persons, regardless of racial, cultural, gender identity and religious difference.

[Painting by Ted Nagata] The evening will feature a dinner and presentation by Dale Minami, a partner with Minami Tamaki LLP, who has been involved in the civil rights litigation of Asian Pacific Americans including the seminal civil rights case Korematsu v. United States that overturned a 40-year old conviction for refusal to obey exclusion orders aimed at Japanese Americans during WWII. Special achievement awards will be presented to **Archie Archuleta, Boyer Jarvis and Margaret Yee**.

Individual tickets are \$75 per person and three levels of sponsored tables of 10:

- **Silver level: \$1,000.** Sponsors receive a reserved table for 10, a \$250 tax deductible donation, and acknowledgement in the evening's program.
- **Gold level: \$3,000.** Sponsors receive a reserved table for ten, a tax deductible donation of \$2,250 in support of next year's honorees, their name/logo on all printed advertising materials, and recognition during the evening's program.
- **Platinum level: \$5,000.** Sponsors receive a reserved table for 10, a tax deductible donation of \$4,250 to be used to expand, process and preserve the minority archives linked under the Uno Legacy Archives designation. The sponsor's name or logo will be on all printed material and the sponsor will receive recognition in the evening's program. (Please email high resolution logos to Judy Jarow)

Pre-paid reservations are due by April 20, made payable to the J. Willard Marriott Library. Contact Judy at 801-581-3421/ judy.jarrow@utah.edu for more information. Mail payment and guests' names to: Judy Jarow, Special Collections, J. Willard Marriott Library, University of Utah, 295 S. 1500 E. Salt Lake City, UT 84112-0860.

ALL U
NEED

 **J. Willard Marriott Library**
THE UNIVERSITY OF UTAH

DO NOT REMIT TO THIS ADDRESS

Form **W-9**
(Rev. December 2014)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
UNIVERSITY OF UTAH

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only one of the following seven boxes:
☐ Individual/sole proprietor or single-member LLC
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶
☐ C Corporation
☐ S Corporation
☐ Partnership
☐ Trust/estate
☒ Other (see instructions) ▶ **STATE UNIVERSITY / 501(c)(3)**
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
 Exempt payee code (if any) **1, 3**
 Exemption from FATCA reporting code (if any) **A, C**
 (Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)
201 S PRESIDENTS CIRCLE ROOM 411

6 City, state, and ZIP code
SALT LAKE CITY, UTAH 84112-0922

7 List account number(s) here (optional)

8 Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number

| | | | | | | | | | | | |
|--|--|--|--|---|--|--|---|--|--|--|--|
| | | | | - | | | - | | | | |
|--|--|--|--|---|--|--|---|--|--|--|--|

 or
 Employer identification number

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| 8 | 7 | - | 6 | 0 | 0 | 0 | 5 | 2 | 5 |
|---|---|---|---|---|---|---|---|---|---|

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification Instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here
 Signature of U.S. person ▶ *Kelly A. P.H. CPA*
 Date ▶ *1/15/2015*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

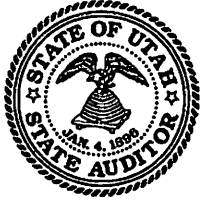
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.



OFFICE OF THE
STATE AUDITOR

INDEPENDENT STATE AUDITOR'S REPORT

To the Board of Trustees, Audit Committee
and
David W. Pershing, President
University of Utah

Report on the Financial Statements

We have audited the accompanying financial statements of the University of Utah (University), a component unit of the State of Utah, as of and for the year ended June 30, 2017, and the related notes to the financial statements, which collectively comprise the University's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We did not audit the financial statements of the University of Utah Hospitals and Clinics (UUHC), ARUP Laboratories Inc. (ARUP), or the University of Utah Research Foundation (UURF), which represent approximately 33 percent, 26 percent, and 51 percent, respectively, of the assets, net position, and revenues of the University. Those statements were audited by other auditors whose reports have been furnished to us, and our opinion, insofar as it relates to the amounts included for UUHC, ARUP, and UURF, is based solely on the reports of the other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement. The financial statements of ARUP were not audited in accordance with *Government Auditing Standards*.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes

evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, based on our audit and the reports of other auditors, the financial statements referred to above present fairly, in all material respects, the financial position of the University as of June 30, 2017, and the changes in its financial position and its cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that management's discussion and analysis on pages 6–14 and the University's Schedule of Proportionate Share of the Net Pension Liability and Schedule of Defined Benefit Pension Contributions on pages 48–49 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise the University's basic financial statements. The Message from the President and the listing of Governing Boards and Officers are presented for purposes of additional analysis and are not a required part of the basic financial statements. This message and listing have not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, we do not express an opinion or provide any assurance on them.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 20, 2017 on our consideration of the University's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the University's internal control over financial reporting and compliance.

Office of the Utah State Auditor

Office of the State Auditor
October 20, 2017