

REQUEST FOR INTERIM/JUNE/YEAR-END BUDGET ADJUSTMENT

Executive Summary			
Reference No: 350000YE01	For Fiscal Year: 2017		
Requesting Organization: 35000000 CENTER FOR THE AR	Date of Request: 19-Sep-17		
Budget Adjust Type(s): Unforeseen / Exigency	One Time Change (Y or N): Y		
New Capital Project	If No, next year's impact:	\$0	
	Net FTE Change:	0.00	
Description and Justification:			
<p>AH Plaza Steam System: Two urgent issues have been discovered recently in the steam and hydronic piping systems at Abravanel Hall. These issues need to be repaired immediately so that the heating system at Abravanel Hall and the pavement hydronic snow melt systems are functional prior to the annual startup of the steam heating season.</p> <p>The first issue is a failed heat exchanger attached to the steam system that supplies heat to the interior of the facility which is not repairable, and needs to be replaced. Cost: \$53,500 including facilities management costs and contingency.</p> <p>The second is a needed change to the control system and valves for the snow melt system within the plaza concrete. This is an addition to the scope of work completed in 2015 and not work covered by the contractor's warranty. The current control system is allowing excessive temperatures to flow through the piping, which will cause failure in the system if not corrected. Cost: \$17,500 including facilities management costs and contingency.</p> <p style="color: blue; font-style: italic;">Neutral County Funding, using Abravanel Hall Lobby Remodel Under-expend</p>			

Fund Impact

SUMMARY OF FUND IMPACT BY FUND:
Fund Impact (Budgetary)
Fund Impact (Transfers)
TOTAL FUND IMPACT

SUMMARY OF CNTY FUNDING IMPACT BY DEPT				
DEPT	REVENUE	EXPENSE	BAL SHEET	CNTY FUNDING
TOTALS	0	0	0	0

Approvals

Division Director:		Date: 9/19/17
Dept. or Elected Fiscal Mgr:		Date: 9-19-17
Dept. Dir. or Elected Official:		Date: 9-19-17
Facilities Division Director: <small>(Capital Projects Only)</small>		Date: 9/20/17
Chief Financial Officer:	 Approve	Date: 9-20-17
Mayor or Designee:	 Approve	Date: 9/20/17
Council Action:		Date: _____

Budget Adjustment Detail

Budget Year: 2017 *** Requesting Department:** 35000000 CENTER FOR THE ARTS (CFA)
Budget Period: Year End *** Req Item No:** 350000YE01 *** Adjustment Title:** AH Plaza Steam System
Adjustment Type(s): Unforeseen / Emergency **New Capital Project**

Expense Budget String(s):

FUND	AGENCY	SUB-DEPT ID	EXPENSE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
483	050	5264000000	607010		CFA_0057AH	53 500
483	050	5264000000	623005		CFA_0057AH	17 500
483	050	5264000000	607015		CFA_0055AH	(71 000)
TOTAL EXPENDITURE CHANGE:						\$0

Revenue Budget String(s):

FUND	AGENCY	SUB-DEPT ID	REVENUE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
TOTAL REVENUE CHANGE:						\$0

Balance Sheet String(s): Bal sheet strings only required for Proprietary Fund adjustments; check if applicable

FUND	SUB-DEPT ID	BAL. SHEET ACCOUNT	AMOUNT
		BAL. SHT	
		BAL. SHT	
		BAL. SHT	
TOTAL BALANCE SHEET CHANGE:			\$0

*** One Time Change (Y or N):** Y **No. of New FTEs:** 0.00 (2)
If No, next year's impact: \$0 **No. of New Time Limited FTEs:** 0.00 (2)
No. of Transferred FTEs: 0.00 (2)
No. of Other FTEs: 0.00 (2)

Fund Balance Transfers:

From Fund	From Dept ID	To Fund	To Dept ID	Amount

Description and justification: (Attach additional pages as needed.)*

Two urgent issues have been discovered recently in the steam and hydronic piping systems at Abravanel Hall. These issues need to be repaired immediately so that the heating system at Abravanel Hall and the pavement hydronic snow melt systems are functional prior to the annual startup of the steam heating season. The first issue is a failed heat exchanger attached to the steam system that supplies heat to the interior of the facility which is not repairable, and needs to be replaced. Cost: \$53,500 including facilities management costs and contingency. The second is a needed change to the control system and valves for the snow melt system within the plaza concrete. This is an addition to the scope of work completed in 2015 and not work covered by the contractor's warranty. The current control system is allowing excessive temperatures to flow through the piping, which will cause failure in the system if not corrected. Cost: \$17,500 including facilities management costs and contingency.

(1) If the request is for a grant, include the dates the grant will expire and what obligations are required of the County after the grant expires

Center for the Arts
JULY 31, 2017 as of AUG 11, 2017

AH-Lobby Renovation Phase III (Escalator) - TRCC Bond Funding

Fund	Agency	Department	Account	Program	Bud Ref	Fund Source	PC Bus Unit	Proj ID	Activity
483	050	5264000000	675010	----	2017	30007	CFA03	CFA_0055AH	

2017 Budget	\$	894,108.00
2017 Adjustment		
ADJUSTED 2017 BUDGET	\$	894,108.00

\$ 139,312.84 2017 Expenses, Current Year
\$ 139,312.84 Total Project Expenses

ACTUAL COSTS:

Date	Vendor/Description	VENDOR	Invoice or JV #	Account #	Budget Ref	Amount Paid
03/29/2017	17350.009-00000039 AH escalator design	HKS Architects	APACC20849	675010	2017	6,835.24
03/29/2017	17350.010-00000040 AH escalator design	HKS Architects	APACC20849	675010	2017	30,790.50
03/13/2017	PLAN REVIEW	SALT LAKE CITY	APACC20839	675010	2017	5,271.50
04/19/17	Arbanel Hall escalator and s	ARNELL-WEST INC	APACC21121	675010	2017	83,790.00
04/27/19	BLD2017-01203; BLDG PERMIT	SALT LAKE CITY	APACC21235	675010	2017	8,191.10
05/26/2017	Arbanel Hall escalator design	HKS INC	APACC21578	675010	2017	1,060.36
05/31/2017	SLC PERMITS/TIC 05/06 6872	US BANK NATIONAL ASSOCIATI	APACC21623	607015	2017	165.43
05/31/2017	SLC PERMITS/TIC 05/03 6872	US BANK NATIONAL ASSOCIATI	APACC21623	607015	2017	3,208.71

Application #1

\$ 139,312.84

TOTAL EXPENSES

ESTIMATED EXPENSES:

Date	Vendor/Description	RFO/RFB	PO#	Amount
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HKS Architects purchase and installation of 2 escalators and 12 rolls of tape in the lobby area. Escalators will be installed in the lobby area. Escalators will be installed in the lobby area. Escalators will be installed in the lobby area.

Remove from costs per Phil Jordan 7-21-17

N/A \$643,000

02/07/2017	HKS Architect - Amendment #7 to design services agreement #8-13550C	ENCUMBERED	verified reports as of 6/30/17
	invoice 17350.009-00000039	invoice posted 3-29-17	\$8,199.00
	invoice 17350.010-00000040	invoice posted 3-29-17	(6,835.24)
	invoice 17350.010-00000045	invoice posted 5-25-17	(30,790.50)
			(1,060.36)

03/15/2017	Arnel West - construction	ENCUMBERED	verified reports as of 6/30/17
	invoice posted 4-19-17		\$67,380.00
	08/23/2017 application #2		(83,790.00)
	08/21/2017 application #3		(26,600.00)
	08/11/2017 application #4		(58,140.00)
			(221,350.00)
			270.00

05/25/2017	plastic sheeting and 12 rolls tape	Home Depot	
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05/31/2017	HKS Architects Amendment #8	Add Services: Design	
		Acoustical Review	7,640.00
		Mechanical Tunnel	8,659.00
		Signage exterior	8,310.00

06/12/2017	Pecuh Printing	AH relocation/constr signs	800.00
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07/26/2017	Construction Control Corp	Escalator project estimating, scheduling, bidding, proj mgmt, closeout	21,324.00
		08/23/2017 Inv 17-3767	(4,380.00)
		08/23/2017 Inv 17-3847	(3,777.80)

08/15/2017	VCI	construction plans for AHLD's - escalators	47.04
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15.4

REQUEST FOR BUDGET ADJUSTMENT

Executive Summary			
Reference No: 554700YE03		For Fiscal Year: 2017	
Requesting Organization: 55470000 PARKS AND REREATI		Date of Request: 14-Sep-17	
Budget Adjust Type(s): Existing Capital Project		One Time Change (Y or N): Y	
Appropriation Unit Shift		If No, next year's impact: \$0	
		Net FTE Change: 0.00	
Description and Justification: Parks & Recreation Bond Project Adjustments: In November 2016, Salt Lake County residents voted to approve Proposition A (Parks & Recreation Bond), which authorized the county to issue general obligation bonds to build new parks, trails, and recreation amenities, as well as to renovate and improve existing facilities. On February 28, 2017, the Council approved a budget adjustment request to establish expenditure budgets for the projects funded by the Parks & Recreation Bond. The capital renewal and replacement project (PARB17CRRP) was set up with a budget of \$31,000,000 and divided into many sub-projects (using the Activity field). Parks & Recreation desires to provide an update to the Council to clarify the scope of certain sub-projects, shift budget among sub-projects, and alter the scope of certain sub-projects. Please see attached worksheets. No additional funding is requested.			

Fund Impact

SUMMARY OF FUND IMPACT BY FUND	
FUND:	484 PARKS AND RECREATION GO BOND FUND
Fund Impact (Budgetary)	\$0
Fund Impact (Transfers)	\$0
TOTAL FUND IMPACT	\$0

SUMMARY OF CNTY FUNDING IMPACT BY DEPT				
DEPT	REVENUE	EXPENSE	BAL SHEET	CNTY FUNDING
TOTALS	0	0	0	0

Approvals

Division Director:		Date: <u>9/14/17</u>
Dept. or Elected Fiscal Mgr:		Date: <u>9.19.17</u>
Dept. Dir. or Elected Official:		Date: <u>9.19.17</u>
Facilities Division Director: (Capital Projects Only)		Date: <u>9/20/17</u>
Chief Financial Officer:	 Approve	Date: <u>9-20-17</u>
Mayor or Designee:	 Approve	Date: <u>9/20/17</u>
Council Action:	Approve	Date: _____

Budget Adjustment Detail

Budget Year: 2017 *** Requesting Department:** 55470000 PARKS AND RECREATION GO BOND PRO. ▾
Budget Period: Post June Year-End ▾ *** Req Item No:** 554700YE03 ▾ *** Adjustment Title:** Parks & Recreation Bond Project Adjustments
Adjustment Type(s): Existing Capital Project ▾ Appropriation Unit Shift ▾ ▾

Expense Budget String(s):

FUND	AGENCY	SUB-DEPT ID	EXPENSE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
484	050	5547000000	607010		PARB17CRRP	(4,289)
484	050	5547000000	607015		PARB17CRRP	(78,211)
484	050	5547000000	673020		PARB17CRRP	100,000
484	050	5547000000	625010		PARB17CRRP	(17,500)
TOTAL EXPENDITURE CHANGE:						\$0

Revenue Budget String(s):

FUND	AGENCY	SUB-DEPT ID	REVENUE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
TOTAL REVENUE CHANGE:						\$0

Balance Sheet String(s): ☐ Bal sheet strings only required for Proprietary Fund adjustments; check if applicable.

FUND	SUB-DEPT ID	BAL. SHEET ACCOUNT	AMOUNT
		BAL_SHT	
		BAL_SHT	
		BAL_SHT	
TOTAL BALANCE SHEET CHANGE:			\$0

* **One Time Change (Y or N):** Y **No. of New FTEs:** 0.00 (2)
If No, next year's Impact: **No. of New Time Limited FTEs:** 0.00 (2)
 No. of Transferred FTEs: 0.00 (2)
 No. of Other FTEs: 0.00 (2)

Fund Balance Transfers:

From Fund	From Dept ID	To Fund	To Dept ID	Amount

Description and justification: (Attach additional pages as needed.)*

In November 2016, Salt Lake County residents voted to approve Proposition A (Parks & Recreation Bond), which authorized the county to issue general obligation bonds to build new parks, trails, and recreation amenities, as well as to renovate and improve existing facilities. On February 28, 2017, the Council approved a budget adjustment request to establish expenditure budgets for the projects funded by the Parks & Recreation Bond. The capital renewal and replacement project (PARB17CRRP) was set up with a budget of \$31,000,000 and divided into many sub-projects (using the Activity field). Parks & Recreation desires to provide an update to the Council to clarify the scope of certain sub-projects, shift budget among sub-projects, and alter the scope of certain sub-projects. Please see attached worksheets. No additional funding is requested.

(1) If the request is for a grant, include the dates the grant will expire and what obligations are required of the County after the grant expires.

PROJECT PAR17BCRRP
2017 PARK RECREATION BOND / CAPITAL RENEWAL REPLACEMENT PROJECTS - AUGUST BUDGET ADJUSTMENT

Activity	Primary Account	Location	Truncated Project Name (as originally presented to Council)	Requested Action	Project Budget	Requested Budget Change	New Proposed Project Budget	Clarification
LIMPOQPKS	673020	Oquirrh Park	Open Lawn	Clarify Scope				"Land Improvements to include open lawn, sidewalk, landscaping & irrigation, utilities, parking lot, trails, restroom, etc."
NCAPCVRC2	607010	Copperview Recreation Center	Slurry Seal Parking Lot	Clarify Scope				"Repair (slurry seal) existing parking lot and address deficiencies as identified in the ADA transition plan in parking lot and adjacent areas"
NCAPB8BP1	607010	South County Pool	Epoxy Locker Room Floor and Replace Toilet Partitions	Budget Shift	\$ 75,000	\$ 2,199.17	\$ 77,199.17	"From PARB17CRRP underspend"
NCAPRVRRC1	607015	Redwood Rec Center	Partial Reroof Over Offices & Racquetball Courts	Clarify Scope				"Epoxy locker room floor; replace toilet partitions, counter tops, light fixtures with LED; and repair drain."
NCAPCVRC1	625010	Copperview Recreation Center	Replace Aerobics Floor w/ Hardwood	Budget Shift	\$ 30,000	\$ (15,850.00)	\$ 14,150.00	"...and replace counter tops, light fixtures with LED; and repair drain" due to disrepair.
NCAPCVRC1	607015	Copperview Recreation Center	Paint Aerobics Room	Add Scope				"Reroof over offices and racquetball courts, replace security lighting to LED fixtures, replace roof top electrical wiring and conduit for security lighting."
NCAPB7PK1	607010	Butler Park	Install shade structure at Pickleball Court	New Project	\$ 14,150	\$ (1,650.00)	\$ 12,500.00	"Install new shade structure at Butler Park Pickleball Courts" due to requests made by Cottonwood Heights.
NCAPB8BP1	673020	Big Bear Park	Replace Playground Equipment	Budget Shift	\$ 8,000.00	\$ 8,000.00	\$ 8,000.00	"From PARB17CRRP underspend"
NCAPB7PK1	607010	Oquirrh Park	Asphalt Overlay Tri-Plex Little League Parking Lot	Clarify Scope				"Replace playground equipment and playground surfacing"
NCAPKRRRC1	607015	Kearns Rec Center	Replace Gym Floor	Budget Shift	\$ 80,000	\$ (36,668.00)	\$ 43,332.00	"From PARB17CRRP underspend"
NCAPMCPK2	607010	Magna Copper Park	Asphalt Overlay Entrance Road	Budget Shift	\$ 50,000	\$ (947.13)	\$ 49,052.87	"From PARB17CRRP underspend"
NCAPMGRRC1	607015	Magna Rec Center	Surface Gym Floor	Budget Shift	\$ 65,000	\$ (38,882.61)	\$ 26,117.39	"Project completed, shift underspend to NCAPB8BP1"
NCAPACIC2	607015	Acord Ice Center	Replace Carpet: Offices, Lobby, et.	Budget Shift	\$ 47,226	\$ (0.08)	\$ 47,225.92	"Project completed, shift underspend to NCAPB8BP1"
NCAPCPK1	607010	Copperview Park	Replace all "Mondo" Flooring	Budget Shift	\$ 170,000	\$ (4,310.00)	\$ 165,690.00	"Project completed, shift underspend to NCAPB8BP1"
NCAPCVRC3	607010	Copperview Park	Repair Restroom	Budget Shift	\$ 30,637	\$ (0.06)	\$ 30,636.94	"Project completed, shift underspend to NCAPB8BP1"
NCAPCGPK1	607010	Cougar Park	Repair Restroom	Budget Shift	\$ 31,022	\$ 0.45	\$ 31,022.45	"Project completed, shift underspend to NCAPB8BP1"
NCAPCPWK1	607010	Crestwood Park	Repair Restroom	Budget Shift	\$ 35,000	\$ (1,253.19)	\$ 33,746.81	"From PARB17CRRP underspend"
NCAPHNP1	607010	Hunter Park	Repair Restroom	Budget Shift	\$ 40,000	\$ (389.52)	\$ 39,610.48	"Project completed, shift underspend to NCAPB7PK1"
NCAPMHPK2	607010	Mill Hollow Park	Repair Restroom	Budget Shift	\$ 35,000	\$ (2,917.65)	\$ 32,082.35	"Project completed, shift underspend to NCAPB7PK1"
NCAPTVP1	607015	Taylorville Pool	Replace Shower Fixtures	Budget Shift	\$ 27,774	\$ (0.11)	\$ 27,773.89	"Project completed, shift underspend to NCAPB7PK1"
NCAPUNPK2	607010	Union Park	Repair Restroom	Budget Shift	\$ 50,000	\$ (958.24)	\$ 49,041.76	"Project completed, shift underspend to NCAPB7PK1"
NCAPVRPK1	607010	Valley Regional Park	Repair North Restroom: Epoxy Floor, Replace Flush Valves	Budget Shift	\$ 30,000	\$ 1,422.41	\$ 31,422.41	"From PARB17CRRP underspend"
NCAPVRPK2	607010	Valley Regional Park	Repair South Restroom: Epoxy Floor, Replace Flush Valves	Budget Shift	\$ 30,000	\$ 700.26	\$ 30,700.26	"From PARB17CRRP underspend"
NCAPVIPK1	607010	Vista Park	Slurry Seal Parking Lot & Concrete	Budget Shift	\$ 30,000	\$ (11,912.72)	\$ 18,087.28	"Project completed, shift underspend to NCAPB8BP1, NCAPCVRC1, NCAPVRPK1 & NCAPVRPK2"
NCAPWHM4	607010	Wheeler Farm	Irrigation Wiring Replacement	Budget Shift	\$ 75,000	\$ 973.96	\$ 75,973.96	"From PARB17CRRP underspend"
					Net:	\$	0.00	

15.5

REQUEST FOR BUDGET ADJUSTMENT

Executive Summary

Reference No: 554100YE02 For Fiscal Year: 2017
Requesting Organization: 55410000 LODESTONE REGIONAL PARK PRGM Date of Request: 14-Sep-17
Budget Adjust Type(s): Existing Capital Project One Time Change (Y or N): Y
New Request If No, next year's impact: \$0
Budget Reduction Net FTE Change: 0.00

Description and Justification:

Lodestone Park - Phase 4: In 2012, voters approved the Regional Park and Trails Bond to construct trails and parks within Salt Lake County, which included phase 2 of Lodestone Regional Park and sections of the Jordan River Trail. Spend down of the 2012 Parks Bond is required by December 2018. To ensure this bond requirement deadline is met and to meet immediate community needs, Parks & Recreation requests shifting bond funds from Jordan River Trail to Lodestone Regional Park to further complete the park's Masterplan including installation of landscaping in the park frontage, installing street lighting, construction of an additional multipurpose field, an additional parking lot, and asphalt pave the perimeter trail that will provide a walking path throughout and surrounding the entire park.

Sufficient funds remain in the Jordan River Trail project to complete current projects under construction, comprehensive wayfinding signage implementation, and acquisition of easements. With completion of current projects the trail is essentially complete pending Salt Lake City's construction of the bridge between North Temple and 200 South.

No new county funding is requested.

Fund Impact

SUMMARY OF FUND IMPACT BY FUND

FUND:	431 PARK BOND PROJECTS FUND
Fund Impact (Budgetary)	\$0
Fund Impact (Transfers)	\$0
TOTAL FUND IMPACT	\$0

SUMMARY OF CNTY FUNDING IMPACT BY DEPT

DEPT	REVENUE	EXPENSE	BAL SHEET	CNTY FUNDING
5541000000 LODESTONE REGIONAL PARK PRGM	0	1,193,000	0	1,193,000
5545000000 JORDON RIVER TRAIL - PARK PRGM	0	(1,193,000)	0	(1,193,000)
TOTALS	0	0	0	0

Approvals

Division Director:

[Signature]

Date:

9/14/17

Dept. or Elected Fiscal Mgr:

[Signature]

Date:

9.19.17

Dept. Dir. or Elected Official:

[Signature]

Date:

9.19.17

Facilities Division Director:
(Capital Projects Only)

[Signature]

Date:

9/20/17

Chief Financial Officer:

[Signature] (For Darrin Casper)
Approve

Date:

9-20-17

Mayor or Designee:

[Signature]
Approve

Date:

9-20-17

Council Action:

Approve

Date:

Budget Adjustment Detail

Budget Year: 2017 * Requesting Department: 55410000 LODESTONE REGIONAL PARK

Budget Period: Post June Year-End * Req Item No: 554100YE02 * Adjustment Title: Lodestone Park - Phase 4

Adjustment Type(s): Existing Capital Project New Request Budget Reduction

Expense Budget String(s):

FUND	AGENCY	SUB-DEPT ID	EXPENSE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
431	050	5541000000	673020		BND13 LODESTONE	1,193,000
431	050	5545000000	673020		BND13 JORDANRIV	(1,193,000)

TOTAL EXPENDITURE CHANGE: \$0

Revenue Budget String(s):

FUND	AGENCY	SUB-DEPT ID	REVENUE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT

TOTAL REVENUE CHANGE: \$0

Balance Sheet String(s): ☐ Bal sheet strings only required for Proprietary Fund adjustments; check if applicable.

FUND	SUB-DEPT ID	BAL. SHEET ACCOUNT	AMOUNT
		BAL SHT	
		BAL SHT	
		BAL SHT	

TOTAL BALANCE SHEET CHANGE: \$0

* One Time Change (Y or N): Y
 If No, next year's impact:
 No. of New FTEs: 0.00 (2)
 No. of New Time Limited FTEs: 0.00 (2)
 No. of Transferred FTEs: 0.00 (2)
 No. of Other FTEs: 0.00 (2)

Fund Balance Transfers:

From Fund	From Dept ID	To Fund	To Dept ID	Amount

Description and justification: (Attach additional pages as needed.)*

In 2012, voters approved the Regional Park and Trails Bond to construct trails and parks within Salt Lake County, which included phase 2 of Lodestone Regional Park and sections of the Jordan River Trail. Spend down of the 2012 Parks Bond is required by December 2018. To ensure this bond requirement deadline is met and to meet immediate community needs, Parks & Recreation requests shifting bond funds from Jordan River Trail to Lodestone Regional Park to further complete the park's Masterplan including installation of landscaping in the park frontage, installing street lighting, construction of an additional multipurpose field, an additional parking lot, and asphalt pave the perimeter trail that will provide a walking path throughout and surrounding the entire park.

Sufficient funds remain in the Jordan River Trail project to complete current projects under construction, comprehensive wayfinding signage implementation, and acquisition of easements. With completion of current projects the trail is essentially complete pending Salt Lake City's construction of the bridge between North Temple and 200 South.

No new county funding is requested.

(1) If the request is for a grant, include the dates the grant will expire and what obligations are required of the County after the grant expires.

2012 Park Bond Spend Down Plan / Spent by Dec 23, 2018**Budget Adjustments**

Jordan River Trail	Budget Balance	\$3,186,261.00
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Jordan River Trail

A	Easement Acquisition (Jordan Valley Water District)	\$0.00
B	Easement Acquisition (Metropolitan Water District)	\$75,000.00
C	Trail Wayfinding Signage (County Wide)	\$700,000.00
D	Site Amenities (Benches, Pavilions, Picnic Tables)	\$126,761.00
Project Budget (After Adjustment)		\$901,761.00

Wardle Fields Regional Park

A	2 New Dry Wells	\$60,000.00
C	Rehab Turf Grass	\$20,000.00
D	Replace 2 Water Pumps & Motors	\$10,000.00
E	Pickleball Side Fencing	\$53,000.00
F	Replace Splash Pad Controller	\$15,000.00
G	Over Budget Items	\$20,000.00
H	Gravel Drainage Sump - Sand Table	\$7,500.00
I	Maintenance Building	\$385,000.00
J	Zip Line PIP Surfacing	\$200,000.00
K	Splash Pad Drainage Alterations	\$22,000.00
L	Gravel Sump - Turf Area	\$10,000.00
Project Budget (After Adjustment)		\$1,091,500.00

Lodestone Regional Park

A	Landscape Park Frontage + Street Lighting	\$150,000.00
B	Additional Soccer Field + Parking Lot	\$1,001,000.00
C	Asphalt Paved Trail	\$42,000.00
(Project Budget After Adjustment)		\$1,193,000.00

15.6

REQUEST FOR BUDGET ADJUSTMENT

Executive Summary

Reference No: 554200YE01		For Fiscal Year: 2017
Requesting Organization: 55420000 SOUTHWEST REGIONAL PARK		Date of Request: 19-Sep-17
Budget Adjust Type(s): Existing Capital Project	One Time Change (Y or N): Y	
New Request	If No, next year's impact: \$0	
Budget Reduction	Net FTE Change: 0.00	

Description and Justification:

Wardle Fields Regional Park - Phase 2: In 2012, voters approved the Regional Park and Trails Bond to construct trails and parks within Salt Lake County, which included phase 1 of Wardle Fields Regional Park and sections of the Jordan River Trail. Spend down of the 2012 Parks Bond is required by December 2018. To ensure this bond requirement deadline is met and to meet immediate community needs, Parks & Recreation requests shifting bond funds from Jordan River Trail to Wardle Fields Regional Park. Wardle Fields is seeing higher than anticipated use and the funds will be used to improve the user experience at the park and further complete the park's masterplan. Work at Wardle Fields includes construction of a maintenance building to house staff and equipment on site, installation of 2 new dry wells, 2 water pumps and motors for the splash pad, upgrade splash pad controller, pickleball side fencing, gravel drainage sump for little kids sand table, gravel sump for grass turf area and playground solid surfacing for underneath the two zip lines.

Sufficient funds remain in the Jordan River Trail project to complete current projects under construction, comprehensive wayfinding signage implementation, and acquisition of easements. With completion of current projects the Jordan River Trail is essentially complete pending Salt Lake City's construction of the bridge between North Temple and 200 South.

No new county funding is requested.

Fund Impact

SUMMARY OF FUND IMPACT BY FUND

FUND:	431 PARK BOND PROJECTS FUND
Fund Impact (Budgetary)	\$0
Fund Impact (Transfers)	\$0
TOTAL FUND IMPACT	\$0

SUMMARY OF CNTY FUNDING IMPACT BY DEPT

DEPT	REVENUE	EXPENSE	BAL SHEET	CNTY FUNDING
5542000000 SOUTHWEST REGIONAL PARK PRGM	0	1,091,500	0	1,091,500
5545000000 JORDON RIVER TRAIL - PARK PRGM	0	(1,091,500)	0	(1,091,500)
TOTALS	0	0	0	0

Approvals

Division Director:

[Signature]

Date: 9/19/17

Dept. or Elected Fiscal Mgr:

[Signature]

Date: 9-19-17

Dept. Dir. or Elected Official:

[Signature]

Date: 9-19-17

Facilities Division Director:
(Capital Projects Only)

[Signature]

Date: 9/20/17

Chief Financial Officer:

[Signature] (For Darrin Casper)
Approve

Date: 9-20-17

Mayor or Designee:

[Signature]
Approve

Date: 9-20-17

Council Action:

Approve

Date: _____

Budget Adjustment Detail

Budget Year: 2017 *** Requesting Department:** 55420000 SOUTHWEST REGIONAL PARK
Budget Period: Post June Year-End *** Req Item No:** 554200YE01 *** Adjustment Title:** Wardle Fields Regional Park - Phase 2
Adjustment Type(s): Existing Capital Project New Request Budget Reduction

Expense Budget String(s):

FUND	AGENCY	SUB-DEPT ID	EXPENSE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
431	050	5542000000	673020		BND13 SOUTHWEST	1,091,500
431	050	5545000000	673020		BND13 JORDANRIV	(1,091,500)
TOTAL EXPENDITURE CHANGE:						\$0

Revenue Budget String(s):

FUND	AGENCY	SUB-DEPT ID	REVENUE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
TOTAL REVENUE CHANGE:						\$0

Balance Sheet/Fund Unrestriction String(s):

☐ Bal sheet strings only required for Proprietary Fund adjustments or fund unrestrictions; check if applicable.

FUND	SUB-DEPT ID	BAL. SHEET ACCOUNT	AMOUNT
		BAL_SHT or 499999	
		BAL_SHT or 499999	
		BAL_SHT or 499999	
TOTAL BALANCE SHEET CHANGE:			\$0

* One Time Change (Y or N): Y
 If No, next year's impact:

No. of New FTEs: 0.00 (2)
 No. of New Time Limited FTEs: 0.00 (2)
 No. of Transferred FTEs: 0.00 (2)
 No. of Other FTEs: 0.00 (2)

Fund Balance Transfers:

From Fund	From Dept ID	To Fund	To Dept ID	Amount

Description and justification: (Attach additional pages as needed.)*

In 2012, voters approved the Regional Park and Trails Bond to construct trails and parks within Salt Lake County, which included phase 1 of Wardle Fields Regional Park and sections of the Jordan River Trail. Spend down of the 2012 Parks Bond is required by December 2018. To ensure this bond requirement deadline is met and to meet immediate community needs, Parks & Recreation requests shifting bond funds from Jordan River Trail to Wardle Fields Regional Park. Wardle Fields is seeing higher than anticipated use and the funds will be used to improve the user experience at the park and further complete the park's masterplan. Work at Wardle Fields includes construction of a maintenance building to house staff and equipment on site, installation of 2 new dry wells, 2 water pumps and motors for the splash pad, upgrade splash pad controller, pickleball side fencing, gravel drainage sump for little kids sand table, gravel sump for grass turf area and playground solid surfacing for underneath the two zip lines.

Sufficient funds remain in the Jordan River Trail project to complete current projects under construction, comprehensive wayfinding signage implementation, and acquisition of easements. With completion of current projects the Jordan River Trail is essentially complete pending Salt Lake City's construction of the bridge between North Temple and 200 South.

No new county funding is requested.

(1) If the request is for a grant, include the dates the grant will expire and what obligations are required of the County after the grant expires.

2012 Park Bond Spend Down Plan / Spent by Dec 23, 2018**Budget Adjustments**

Jordan River Trail	Budget Balance	\$3,186,261.00
--------------------	----------------	----------------

Jordan River Trail

A	Easement Acquisition (Jordan Valley Water District)	\$0.00
B	Easement Acquisition (Metropolitan Water District)	\$75,000.00
C	Trail Wayfinding Signage (County Wide)	\$700,000.00
D	Site Amenities (Benches, Pavilions, Picnic Tables)	\$126,761.00
Project Budget (After Adjustment)		\$901,761.00

Wardle Fields Regional Park

A	2 New Dry Wells	\$60,000.00
C	Rehab Turf Grass	\$20,000.00
D	Replace 2 Water Pumps & Motors	\$10,000.00
E	Pickleball Side Fencing	\$53,000.00
F	Replace Splash Pad Controller	\$15,000.00
G	Over Budget Items	\$20,000.00
H	Gravel Drainage Sump - Sand Table	\$7,500.00
I	Maintenance Building	\$385,000.00
J	Zip Line PIP Surfacing	\$200,000.00
K	Splash Pad Drainage Alterations	\$22,000.00
L	Gravel Sump - Turf Area	\$10,000.00
Project Budget (After Adjustment)		\$1,091,500.00

Lodestone Regional Park

A	Landscape Park Frontage + Street Lighting	\$150,000.00
B	Additional Soccer Field + Parking Lot	\$1,001,000.00
C	Asphalt Paved Trail	\$42,000.00
(Project Budget After Adjustment)		\$1,193,000.00

15.7

REQUEST FOR BUDGET ADJUSTMENT

Executive Summary			
Reference No: 450000YE02 <i>05</i>	For Fiscal Year: 2017		
Requesting Organization: 45000000 TOWNSHIP ENGINEER	Date of Request: 3-Aug-17		
Budget Adjust Type(s): New Capital Project	One Time Change (Y or N): Y		
	If No, next year's impact: \$0		
	Net FTE Change: 0.00		
Description and Justification:			
Culvert Repair Dimple Dale Rd: This budget adjustment is to fund project EFCMC170004, Culvert Repair at Dimple Dell Road. The project will include emergency repairs for a sinkhole and culvert repairs at Dry Creek Crossing, 10093 S Dimple Dell Rd. The County, on behalf of the Greater Salt Lake Municipal Services District Boad (GSLMSD), is partnering with Sandy City on the project. Sandy City will perform the work and the County/MSD will contribute an amount not-to-exceed \$200,000.			

Fund Impact

SUMMARY OF FUND IMPACT BY FUND	
FUND:	735 PUBLIC WORKS FUND
Fund Impact (Budgetary)	\$0
Fund Impact (Transfers)	\$0
TOTAL FUND IMPACT	\$0

** \$200,000 coming from MSD.*

SUMMARY OF CNTY FUNDING IMPACT BY DEPT				
DEPT	REVENUE	EXPENSE	BAL SHEET	CNTY FUNDING
4500000200 PW-PROJECT MANAGEMENT AND DESIGN	200,000	200,000	0	0
TOTALS	200,000	200,000	0	0

Approvals

Division Director:		Date: <u>8/3/2017</u>
Dept. or Elected Fiscal Mgr:		Date: <u>9/12/17</u>
Dept. Dir. or Elected Official:		Date: <u>13 Sept 2017</u>
Facilities Division Director: (Capital Projects Only)		Date: _____
Chief Financial Officer:		Date: <u>9-13-17</u>
Mayor or Designee:	 Approve	Date: _____
Council Action:	_____ Approve	Date: _____

Budget Adjustment Detail

Budget Year: 2017 *** Requesting Department:** 45000000 TOWNSHIP ENGINEERING SERVICES
Budget Period: June *** Req Item No:** 450000YE02 04 *** Adjustment Title:** Culvert Repair Dimple Dale Rd
Adjustment Type(s): New Capital Project

Expense Budget String(s):

FUND	AGENCY	SUB-DEPT ID	EXPENSE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
735	040	4500000200	664005		EFCMC170004	200,000
TOTAL EXPENDITURE CHANGE:						\$200,000

Revenue Budget String(s):

FUND	AGENCY	SUB-DEPT ID	REVENUE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
735	040	4500000200	423400			200,000
TOTAL REVENUE CHANGE:						\$200,000

Balance Sheet String(s): ☐ Bal sheet strings only required for Proprietary Fund adjustments; check if applicable.

FUND	SUB-DEPT ID	BAL. SHEET ACCOUNT	AMOUNT
		BAL SHT	
		BAL SHT	
		BAL SHT	
TOTAL BALANCE SHEET CHANGE:			\$0

* **One Time Change (Y or N):** Y
If No, next year's impact:
 No. of New FTEs: 0.00 (2)
No. of New Time Limited FTEs: 0.00 (2)
No. of Transferred FTEs: 0.00 (2)
No. of Other FTEs: 0.00 (2)

Fund Balance Transfers:

From Fund	From Dept ID	To Fund	To Dept ID	Amount

Description and justification: (Attach additional pages as needed.)*

This budget adjustment is to fund project EFCMC170004, Culvert Repair at Dimple Dell Road. The project will include emergency repairs for a sinkhole and culvert repairs at Dry Creek Crossing, 10093 S Dimple Dell Rd. The County, on behalf of the Greater Salt Lake Municipal Services District Board (GSLMSD), is partnering with Sandy City on the project. Sandy City will perform the work and the County/MSD will contribute an amount not-to-exceed \$200,000.

(1) If the request is for a grant, include the dates the grant will expire and what obligations are required of the County after the grant expires.

15.8

REQUEST FOR BUDGET ADJUSTMENT

Executive Summary			
Reference No: 290000YE01		For Fiscal Year:	2017
Requesting Organization: 29000000 INDIGENT LEGAL SE		Date of Request:	7-Sep-17
Budget Adjust Type(s): Appropriation Unit Shift		One Time Change (Y or N):	Y
		If No, next year's impact:	\$0
		Net FTE Change:	0.00
Description and Justification: ILS Appropriation Unit shift: ILS requests to move \$100K from the operational appropriation unit (200) to Indigent legal services (800) to address the potential needs from the conflict of interest counsels. This strategy would help us to encumber the fund now for the protentional needs.			

Fund Impact

SUMMARY OF FUND IMPACT BY FUND:	
FUND:	110 GENERAL FUND
Fund Impact (Budgetary)	\$0
Fund Impact (Transfers)	\$0
TOTAL FUND IMPACT	\$0

SUMMARY OF CNTY FUNDING IMPACT BY DEPT				
DEPT	REVENUE	EXPENSE	BAL SHEET	CNTY FUNDING
TOTALS	0	0	0	0

Approvals

Division Director:		Date: _____
Dept. or Elected Fiscal Mgr:	Yanping Ding <small>Digitally signed by Yanping Ding Date: 2017.09.07 18:02:06 -0600</small>	Date: _____
Dept. Dir. or Elected Official:	Karen Crompton <small>Digitally signed by Karen Crompton Date: 2017.09.11 16:43:44 -0600</small>	Date: _____
Facilities Division Director: (Capital Projects Only)		Date: _____
Chief Financial Officer:	 Approve	Date: <u>9-15-17</u>
Mayor or Designee:	 Approve	Date: <u>9/20/17</u>
Council Action:	Approve	Date: _____

Budget Adjustment Detail

Budget Year: 2017 * Requesting Department: 28000000 INDIGENT LEGAL SERVICES
 Budget Period: Post June YearEnd * Req Item No: 280000YE01 * Adjustment Title: ILS Appropriation Unit shift
 Adjustment Type(s): Appropriation Unit Shift

Expense Budget String(s):

FUND	AGENCY	SUB-DEPT ID	EXPENSE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
110	020	2900000100	639025			(100,000)
110	020	2900000100	853025			100,000
TOTAL EXPENDITURE CHANGE:						\$0

Revenue Budget String(s):

FUND	AGENCY	SUB-DEPT ID	REVENUE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
TOTAL REVENUE CHANGE:						\$0

Balance Sheet/Fund Unrestriction String(s):

☐ Bal sheet strings only required for Proprietary Fund adjustments or fund unrestrictedions; check if applicable.

FUND	SUB-DEPT ID	BAL. SHEET ACCOUNT	AMOUNT
		BAL_SHT or 499000	
		BAL_SHT or 499000	
		BAL_SHT or 499000	
TOTAL BALANCE SHEET CHANGE:			\$0

* One Time Change (Y or N): Y
 If No, next year's Impact: \$0

No. of New FTEs: 0.00 (2)
 No. of New Time Limited FTEs: 0.00 (2)
 No. of Transferred FTEs: 0.00 (2)
 No. of Other FTEs: 0.00 (2)

Fund Balance Transfers:

From Fund	From Dept ID	To Fund	To Dept ID	Amount

Description and Justification: (Attach additional pages as needed.)*

ILS requests to move \$100K from the operational appropriation unit (200) to indigent legal services (800) to address the potential needs from the conflict of interest counsels. This strategy would help us to encumber the fund now for the protentional needs.

(1) If the request is for a grant, include the dates the grant will expire and what obligations are required of the County after the grant expires.

SALT LAKE LEGAL DEFENDER ASSOCIATION

Established in 1965

Executive Director

Richard P. Mauro

Chair

D. Gilbert Athay

Vice Chair

Ronald Coleman

Past Chair

George W. Latimer

Robert Van Sciver

Jimi Mitsunaga

424 East 500 South, Suite 300
SALT LAKE CITY, UTAH 84111

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August 29, 2017

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2018 CONFLICT BUDGET REQUEST

A. Funding History for Conflict Teams.

Exhibit 1 details a yearly comparison of amounts requested each year to fund conflict cases in Salt Lake County. From 2012 to 2016, the amount requested each year remained static at \$558,449, even though the actual costs of conflict cases exceeded that amount. *See Exhibit 2.* In that time period, the Salt Lake Legal Defender Association paid \$144,590 from its budget to supplement the conflict budget. On average, LDA paid \$28,918 each year for that time period to ensure that conflict cases were adequately funded. The highest amount paid was \$41,600 in 2016, the lowest was \$17,602 paid in 2015. *See Exhibit 2.*

What is also not reflected in the conflict budget is the ancillary services performed by LDA staff for conflict teams. *See Exhibit 3.* LDA investigators are often asked to serve subpoenas, perform investigations, and provide clothing to conflict defendants who appear at trials. LDA social service professionals assist conflict clients in finding mental health and drug treatment placements, gather medical and other records, and assist in finding housing resources. LDA legal assistants often type court-hearing transcripts for use by conflict lawyers, copy discovery and other paperwork, and prepare conflict files for delivery and pickup.

There are presently six trial-level conflict teams who are paid a yearly flat fee of \$70,000 per team. There are two or more attorneys per team. The total yearly flat fees are \$420,000 per year. *See Exhibits 1 & 4.* Appellate conflict lawyers are paid \$6,000 for each regular appeal and \$3,000 for each appeal of a sentencing issue or appeals of denials of a defendant's motion to withdraw a plea of guilty. *See Exhibit 4.* From 2012 to 2016, LDA has requested \$50,000 each

year to cover the fees paid to conflict appellate lawyers, even though the actual costs were over double that amount. *See* Exhibit 5.

Litigation expenses include fees for homicide case appointments,¹ daily trial fees, investigation, experts, out-of-state witnesses, and transcripts. Until 2017, the requested budget amount for those expenses has remained static at \$158,449. It was raised \$20,000 in 2017, to \$178,449. Exhibit 7 shows the amounts paid in conflict trial fees from 2015 through June 30, 2017. If the first half of 2017 is an accurate indicator, it is anticipated that the trial fees will be double the amount paid in 2016.

B. Predications for 2018.

The conflict caseloads from 2012 to 2016 have remained fairly steady averaging 276 conflict cases per year. Based on the statistics through June 30, 2017, we are likely to see a 21.7% increase in the number of cases sent to conflict teams. This has not resulted in an increase in the number of cases for each trial team because a sixth team was added in 2017.²

While it is difficult to calculate an accurate predication of conflict costs, a number of observations suggest that an increase in the budget request for 2018 is in order:

1. For the years 2012 to 2016, attorney fees for appeals exceeded the budgeted amount of \$50,000 by an average of \$65,800 per year, increasing the annual average cost to \$115,800. These expenses were paid in two ways: (1) From litigation expenses allocated for conflict cases; and (2) From the Salt Lake Legal Defender Association budget.
2. Conflict caseloads are expected to increase in 2018. If the attorney fees for the six teams remain the same, then there would be no obvious increase in the \$420,000 that is earmarked for fixed fees.

¹ Conflict homicide case referrals pay \$3,000 to each team for each appointment, *See* Exhibit 6.

² If the conflict caseload is 336 for 2017, then each conflict team will receive approximately 56 conflict cases. Last year the five teams received approximately 55 conflict cases (average caseload 276/5 number of conflict teams = 55.2).

With more cases, however, there will be a likely increase in litigation expenses for appointments on homicide cases, trial fees, experts, investigation, transcripts, and other expenses.

3. The \$20,000 increase for litigation expenses and \$30,000 for appellate lawyer attorney fees implemented in 2017 is likely to help reduce the conflict deficits of years past, but may not eliminate that debt entirely. It is anticipated that the following amounts will be paid in 2017 out of the conflict litigation budget

- \$10,000 toward conflict appellate lawyers attorney fees (The budgeted amount is \$80,000, but midyear figures suggest that the amount paid out will be \$90,000. *See Exhibit 5.*)
- \$46,000 for trial fees. *See Exhibit 7.*
- \$36,000 for homicide case assignments. *See Exhibit 6.*

The total amount earmarked for litigation in 2017 is \$178,449. From that amount, \$92,000 should be subtracted for payments to appellate lawyers, attorney trial fees, and homicide case assignments, leaving \$86,449 for remaining litigation expenses such as expert witnesses, investigators, court reporters, copies, and the printing of transcripts.

C. Conflict Request For 2018.

Given the above numbers it seems prudent to request a \$10,000 increase for appellate legal fees, and a \$20,000 increase for litigation expenses. The budget request is as follows:

Trial Team Disbursements	\$420,000
Litigation Expenses	\$198,449
Appellate Referrals.....	\$90,000
TOTAL REQUESTED BUDGET	\$708,449

Sincerely,



Richard P. Mauro, Executive Director
Salt Lake Legal Defender Association

EXHIBIT 1

CONFLICT OF INTEREST CONTRACT YEARLY COMPARISON

EXPENSE	2012	2013	2014	2015	2016	2017
Contract Disbursement (\$70,000 p/team, paid quarterly)*	\$350,000 (5 teams)	\$350,000 (5 teams)	\$350,000 (5 teams)	\$350,000 (5 teams)	\$350,000 (5 teams)	\$420,000 (6 teams)
Litigation**	\$158,449	\$158,449	\$158,449	\$158,449	\$158,449	\$178,449
Appellate Referrals	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 80,000
Total---	\$558,449	\$558,449	\$558,449	\$558,449	\$558,449	\$678,449

*The contract disbursement is a flat yearly attorney fee of \$70,000 paid to each conflict team. It does not include additional attorney fees for homicide cases or trial fees. The contract teams are paid an additional \$3,000 attorney fee upon appointment in homicide cases and an additional \$1,000 per day trial fee up to a maximum of \$5,000.

**The fees for homicide case appointment and daily trial fees are paid from the litigation budget. Costs for investigation, experts, out-of-state witness fees, and transcripts are also paid from the litigation fund. Over the past five years the conflict contract has exceeded the budgeted amount from the County (\$32,461, \$25,710, \$27,217 \$17,602, and \$41,600 respectively) and LDA has been required to pay this deficit out of its budget.

EXHIBIT 2

CONFLICT BUDGET AND PAYMENT SUMMARY FROM LDA BUDGET

YEAR	BUDGETED AMOUNT	ACTUAL AMOUNT	AMOUNT FROM LDA BUDGET
2012	\$ 558,449	\$ 590,910	\$ 32,461
2013	\$ 558,449	\$ 584,449	\$ 25,710
2014	\$ 558,449	\$ 585,666	\$ 27,217
2015	\$ 558,449	\$ 576,051	\$ 17,602
2016	\$ 558,449	\$ 600,049	\$ 41,600
TOTALS	\$2,792,245	\$2,937,125	\$144,590

From 2012 to 2016, on average, LDA paid \$28,918 out of its budget to fund conflict cases. The lowest amount paid was \$17,602, while the highest amount was \$41,600 paid out last year. What is clear from this chart is that LDA consistently supplements the conflict budget with money from its operating budget.

EXHIBIT 3

MISCELLANEOUS

Conflict Assignments –

Appeals – Separate rotation for \$6,000 and \$3,000 cases

Trials – Separate rotation for homicides, juveniles, advise only and trial cases

Clothes for Trial – When in-custody cases are set for trial, LDA currently provides/delivers clothes to court for conflict teams. Conflict counsel notifies LDA in advance of clothing sizes, trial date(s)/judge. An LDA investigative aide selects the clothing, delivers it to the court and picks it up after trial.

Subpoenas – LDA currently serves subpoenas at the request of conflict lawyers.

Investigative/Expert/Transcript Requests – LDA conflict teams often request assistance from mental health/substance abuse specialists for assistance in placing clients in treatment programs. LDA legal assistants also prepare court hearing transcripts.

Hearing Coverage – conflict counsel should cover all hearings. If getting a continuance, they can ask someone to cover, but prelims, motion hearings, sentencing, trials, etc, to be covered by conflict attorney and/or conflict team partners.

Leaves Team – if an attorney leaves a team mid-year, or team wants to add someone mid-year, the change must be approved by Presiding Judge.

Transfer of File – Conflict teams currently pick up paper files and discs from LDA. Those files are copied and placed for pick up in boxes designated for conflict teams.

EXHIBIT 4

CONFLICT OF INTEREST CASES YEARLY COMPARISON

CASE TYPE	2012	2013	2014	2015	2016	2017*
Appeals	17	24	18	20*	22	8
Homicides	7	6	5	14	20	6
Advise Only	29	26	31	28	26	19
Juvenile	2	3	1	0	2	4
Regular	213	207	221	220	218	131
Total:	268	266	276	282	288	168
* As of 6/30/17						

APPELLATE TEAMS

1. An attorney appointed to handle an appeal is paid a total fee of \$6,000 unless the case involves a challenge to a sentencing issue or addresses a motion to withdraw a guilty plea, which pays \$3,000. Payments are split -- half paid at time of referral and balance paid when opening brief is filed.
2. An attorney filing petition for writ of certiorari is paid an additional \$1,000 and additional \$2,500 for representation on certiorari.
3. Payment for transcripts, printing/binding, and copying costs are paid separate from the attorney fees. The cost of online legal research services is not paid for under the contract.

* As of 2015, appeals involving a claim that trial court abused its discretion in sentencing and/or a challenge to a guilty plea where a timely motion to withdraw the guilty plea was not filed are paid at \$3,000. (In 2015, six paid at \$3,000; 2016, three paid at \$3,000; and 2017 to-date, one paid at \$3,000.)

TRIAL TEAMS

1. Paid quarterly (beginning of each quarter)
2. Homicide referrals receive an additional \$3,000 payment.
3. Investigative/expert fees, transcripts, out of state witness travel/expenses, are considered to be litigation expenses.
4. In cases where attorneys outside one of the six teams are needed, the Executive Director of LDA seeks to find qualified lawyers paid, at an agreed upon rate based on case, to handle the case.
5. Trial fee -- pays \$1,000 p/day (up to 5 days for a case). Bench trials are excluded.

EXHIBIT 5
APPELLATE REFERRALS

YEAR	NUMBER OF APPEALS	BUDGETED AMOUNT	ACTUAL AMOUNT	DIFFERENCE
2012	17	\$ 50,000	\$102,000	\$ 52,000
2013	24	\$ 50,000	\$144,000	\$ 94,000
2014	18	\$ 50,000	\$108,000	\$ 58,000
2015	14/6*	\$ 50,000	\$102,000	\$ 52,000
2016	19/3*	\$ 50,000	\$123,000	\$ 72,000
2017**	7/1*	\$ 80,000	\$ 45,000*	\$ 5,000**
TOTALS	109	\$330,000	\$624,000	\$334,000

*Beginning in 2015, conflict lawyers were paid a \$6,000 flat fee for regular appeals, and \$3,000 for appeals challenging a sentence or for cases involving motions to withdraw guilty pleas. In 2015, 14 appeals were paid at \$6,000, 6 were paid at \$3,000. In 2016, 19 appeals were paid at \$6,000, 3 were paid at \$3,000. Through June 30, 2017, 7 appeals were paid at \$6,000, 1 was paid at \$3,000.

**The 2017 numbers are from January 1, 2017 through June 30, 2017.

The amounts paid above the budgeted amounts were likely paid from monies allocated for conflict litigation expenses and from the LDA general operating account.

EXHIBIT 6
CONFLICT HOMICIDE REFERRALS

YEAR	NUMBER OF REFERRALS	AMOUNT PAID
2012	7	\$ 21,000
2013	6	\$ 18,000
2014	5	\$ 15,000
2015	14	\$ 42,000
2016	20	\$ 60,000
2017*	6	\$ 18,000
TOTALS	58	\$174,000

*This represents the number of homicide conflict cases assigned from January 1, 2017 through June 30, 2017.

Conflict teams assigned to represent indigent persons charged with homicides are paid an additional amount of \$3,000 per case upon appointment. The most frequent conflicts in homicide cases occur when more than one person is charged, and when LDA represents witnesses and victims to the charged crime.

From 2012 to 2016, LDA sent out an average of just over ten homicide conflict cases per year. That number, however, has jumped substantially in the last three years. From 2012 to 2014, LDA had conflicts on average in 6 homicide cases per year. From 2015 to present that average has nearly tripled to an average of 15 homicide conflicts per year.

EXHIBIT 7

CONFLICT LAWYERS TRIAL FEES

YEAR	NUMBER OF TRIAL DAYS	AMOUNT
2015	17	\$ 17,000
2016	24	\$ 24,000
2017*	23	\$ 23,000
TOTALS	64	\$ 64,000

*The 2017 trial days and payments are through June 30, 2017. If these numbers are an accurate predictor of trial days, we can anticipate 46 total trial days and payments of \$46,000.