

SL COUNTY BUDGET REQUEST / ADJUSTMENT FORM

Executive Summary

Request Item No: 500300YE01 For Fiscal Year: 2018
 Requesting Organization: 50030000 GENERAL FUND-STAT Date of Request: 4-Sep-18
 Budget Adjust Type(s): Technical Ongoing (Y or N): Y
 If Yes, next year's CF impact: \$300,000
 Net FTE Change: 0.00

Description and Justification:

Pay For Success HNJ/REACH Program Funding: This adjustment is to unrestrict and budget a portion of funds set aside for the Pay For Success "Home Not Jail" (HNJ) and "Recovery, Engagement, Assessment, Career, and Housing" (REACH) programs. This will allow the County to make payment for program participants who have met program success criteria. The County has \$11,500,000 set aside to fund the HNJ and REACH programs in Restricted Fund Balance Account "311165 RFB-Pay for Success", and Cash Account "103060 Cash-TrusteeHeld-PayforSuccess".

Fund Impact

SUMMARY OF FUND IMPACT BY FUND

FUND:	110 GENERAL FUND
Fund Impact (Budgetary)	\$0
Fund Impact (Transfers)	\$0
TOTAL FUND IMPACT	\$0

* Balance sheet or fund unrestriction impacted fund; please see the adjustment form balance sheet/fund unrestriction section detail.

Using restricted funds set aside specifically for this purpose. *De*

SUMMARY OF CNTY FUNDING IMPACT BY DEPT

DEPT	REVENUE	EXPENSE	BAL SHEET	CNTY FUNDING
5003002400 PAY FOR SUCCESS	0	300,000	300,000	300,000
TOTALS	0	300,000	300,000	300,000

Approvals

Division Director:

Date: _____

Dept. or Elected Fiscal Mgr:

Date: 9/4/2018

Dept. Dir. or Elected Official:

Date: 9/5/2018

Facilities Division Director:
(Capital Projects Only)

Date: _____

Chief Financial Officer:

Date: 9-5-18

Mayor or Designee:

Approve

Date: 9-5-18

Approve

Council Action:

Approve

Date: _____

Budget Adjustment Detail

Budget Year: 2018 * Requesting Department: 50030000 GENERAL FUND-STATUTORY AND GENL
 Budget Period: Post June Year-End * Req Item No: 500300YE01 * Adjustment Title: Pay For Success HNJ/REACH Program Funding
 Adjustment Type(s): Technical

Expense Budget String(s):

FUND	SUB-DEPT ID	EXPENSE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT
110	5003002400	667009			300,000

TOTAL EXPENDITURES Page 1: \$300,000
 TOTAL EXPENDITURES ALL PAGES: \$300,000

Revenue Budget String(s):

FUND	SUB-DEPT ID	REVENUE ACCOUNT	PROG/ACT ID (OPT)	PROJECT ID (CAP)	AMOUNT

TOTAL REVENUES Page 1: \$0
 TOTAL REVENUES ALL PAGES: \$0

Balance Sheet/Fund Unrestriction String(s):

☐ Bal sheet strings only required for Proprietary Fund adjustments or fund unrestricted; check if applicable.

FUND	SUB-DEPT ID	BAL. SHEET ACCOUNT	AMOUNT
110	5003002400	499999	300,000
		BAL_SHT or 499999	
		BAL_SHT or 499999	

TOTAL BALANCE SHEET CHANGE: \$300,000

* Ongoing (Y or N): Y
 If Yes, next year's CF impact: \$300,000

No. of New FTEs: 0.00 (2)
 No. of New Time Limited FTEs: 0.00 (2)
 No. of Transferred FTEs: 0.00 (2)
 No. of Abolished FTEs: 0.00 (2)

Fund Balance Transfers:

From Fund	From Dept ID	To Fund	To Dept ID	Amount

Description and justification: (Attach additional pages as needed.)*

This adjustment is to unrestrict and budget a portion of funds set aside for the Pay For Success "Home Not Jail" (HNJ) and "Recovery, Engagement, Assessment, Career, and Housing" (REACH) programs. This will allow the County to make payment for program participants who have met program success criteria. The County has \$11,500,000 set aside to fund the HNJ and REACH programs in Restricted Fund Balance Account "311165 RFB-Pay for Success", and Cash Account "103060 Cash-TrusteeHeld-PayforSuccess".

(1) If the request is for a grant, include the dates the grant will expire and what obligations are required of the County after the grant expires.

DESCRIPTION		LINE TOTAL
Pursuant to attached memo from UCJC:		
REACH Treatment Engagement		\$63,800.00
HNJ Graduation to Permanent Housing		\$6,900.00
SLCO PFS 1, Inc. is a 501c(3) nonprofit organization. Our EIN is 47-3854619. Your contribution is tax deductible to the extent allowed by law. All funds remain the sole property of the SLCO PFS 1, Inc., which has exclusive legal control over the contributed assets. No goods or services were provided in exchange for your generous financial donation. Please		SUBTOTAL \$ 70,700.00 SALES TAX TOTAL \$ 70,700.00

Dan J. Curtis

From: Shanell Beecher
Sent: Tuesday, August 28, 2018 10:09 AM
To: Dan J. Curtis; Nathan Gubler
Cc: Javaid I. Majid; Rod Kitchens; Darrin Casper; John E. Pectol
Subject: FW: SLCo PFS: Success Payment Invoice
Attachments: HNJ Permanent Housing Memo 20180817.docx; REACH Treatment Engagement Memo 20180815.docx; SPV Invoice - 8.22.18.pdf; Wire instruction.pdf

Dan,

Here is the Pay for success payment request that Javaid mentioned.

They still haven't provided an updated pro forma which should provide a budget plan going forward, but Darrin was recommending just doing \$300k for 2018 to cover this payment and any other we will receive this year.

The money will come from account 311165- RFB- Pay for Success.

Nathan- can you set up an expense account in the "miscellaneous class" and "other operating expense 2" category called "Pay for Success Payments" long description can be "success payments related to the County's pay for success initiatives". Let Dan know the number so he can put it on the adjustment. It will need to be used in 2018.

Thank you!

Shanell Beecher, CPA

Director of Accounting
385.468.7102

From: Alexandra Eaton <alex@utahcf.org>
Sent: Monday, August 27, 2018 5:07 PM
To: Shanell Beecher <SBeecher@slco.org>
Cc: Claire Thomas <claire.thomas@sorensonimpact.com>; Yuki Novak <yuki@utahcf.org>; Darrin Casper <DCasper@slco.org>
Subject: Fwd: SLCo PFS: Success Payment Invoice

Shanell,

Pursuant to our conversation on August 16th, attached please find (i) invoice requesting the draw down from the County's escrow account, (ii) wire instructions, and (iii) memorandums from UCJC for the REACH and HNJ projects.

Please let me know if you have any questions.

Thanks!

Alex

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Alex Eaton
Chief Executive Officer
Community Foundation of Utah
2257 South 1100 East, Suite 205
Salt Lake City, Utah 84106
801.559.3005x2
[801.580.6615](tel:8015806615) (cell)



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Memo

To: Pay for Success HNJ Executive Committee
From: Utah Criminal Justice Center
Date: 8/17/2018
Re: Graduation to Permanent Housing Metric

Dear Pay for Success HNJ Executive Committee Members:

The Utah Criminal Justice Center (UCJC) has analyzed the number of HNJ cases where the client graduated to permanent housing. A few points about the metric should be noted:

- The metric provides a snapshot of the number of cases in which the client, 12 months after moving into a placement, had graduated to permanent housing and was no longer receiving services, or a rental subsidy, through HNJ.
- The 12-month measurement mark is a relatively arbitrary point in time; clients could graduate to permanent housing before reaching 12 months or could take longer than 12 months. Therefore, clients who moved into subsidized housing over 12 months ago, but who have not yet graduated to permanent housing, could still qualify at a later measurement point.
- Clients can graduate to circumstances other than permanent housing. Those options include: moving to the emergency shelter, living on the street, moving into a hotel, disappearing, or temporarily living with family or friends. These graduations are not a success as defined by the graduation to permanent housing metric.
- Once a client has a program exit status, that status is permanent with respect to the HNJ program; thus, if a person graduates to permanent housing, but then later loses that placement, the fact that he or she (at least temporarily) held a permanent housing placement makes the person count as a program success (i.e., a graduate to permanent housing) on this metric. However, if they returned to shelter services, the number of days in shelter would count against the housing stability metric (assessed during annual reports).

The performance metric outcome below provides the number of clients who have 1) had 12 months elapse since moving into a subsidized housing placement, and 2) graduated from the

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subsidized placement before 8/1/2018. Cases graduating after this date will be reported in the next report period six months from now.

Eight HNJ cases have had 12 months elapse since moving into a subsidized housing placement. Of these, three (37.5%) have graduated from the HNJ program. All three graduated to permanent housing. Zero cases were discharged into homelessness or otherwise failed out of the HNJ program. Though five of eight eligible cases have not yet graduated to permanent housing, the lack of a cap (or upper limit) on the timeframe to graduation dictates that these five cases could still graduate to permanent housing at a later date (as long as that date is within the project's observation window).

The graduation to permanent housing metric is paid at \$2,300 per individual meeting the metric. Three HNJ clients met the metric during this first year of observation, resulting in a total success payment calculation of \$6,900.

The next analysis of the graduation to permanent housing metric will occur in month 24 of the HNJ project.

Kort Prince, PhD: Interim Director - Utah Criminal Justice Center

Christian Sarver: Senior Research Analyst

Rob Butters, PhD: Principal Investigator, PFS REACH/HNJ

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Memo

To: Pay for Success REACH Executive Committee
From: Utah Criminal Justice Center
Date: 8/15/2018
Re: Treatment Engagement Metric

Dear Pay for Success REACH Executive Committee Members:

The Utah Criminal Justice Center (UCJC) has completed the process of analyzing treatment encounters between First Step House (FSH) staff and its REACH clients in order to determine the number of clients reaching 200 hours of criminogenic related services in the first six months of treatment post enrollment. For treatment encounters to count toward the 200 hour criterion, encounters had to address at least one of the following eight criminogenic risk factors: antisocial behavior, antisocial personality, antisocial cognition, antisocial peers, family, school and work, leisure and recreation, or substance abuse.

Signed case notes from each REACH treatment encounter were examined to determine whether the encounters addressed at least one of the eight needs. Assessments and other encounters that did not specifically address at least one of the criminogenic needs (as documented in the encounter notes) did not count toward meeting the metric. In all, 9,052 encounters were evaluated. Along with documenting the needs addressed, REACH staff also recorded the duration of the encounters. The metric was calculated by summing durations across all encounters that addressed at least one criminogenic need.

In the first year of REACH, 86 cases were randomized into treatment; 28 of these had six months of observation post enrollment. Of these 28 cases, 11 (or 39.3%) reached 200 hours of criminogenic-specific treatment within six months of REACH enrollment.¹

The treatment engagement metric is paid at \$5,800 per individual meeting the metric. Eleven REACH clients met the metric during this first year of observation, resulting in a total success

¹ Only cases that reached six months of post enrollment treatment are included in this analysis. Any cases that met the 200 hour mark, but that did not reach six months post enrollment before the observation window closed for this measurement period, will be counted in the next report six months from now.

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payment calculation of \$63,800.

The next analysis of the treatment engagement metric will occur in month 18 of the REACH project.

Kort Prince, PhD: Interim Director - Utah Criminal Justice Center

Rob Butters, PhD: Principal Investigator, PFS REACH/HNJ

Salt Lake County
Balance Sheet – Governmental Funds
As Of: 07/31/2018

	Current Period	Inception-To-Date
<u>Back to Table of Contents</u>		
Fund 110 Total Liabilities	\$2,074,909.70	\$16,028,725.56
<u>Fund Balance</u>		
Fund 110		
301005 Pre-Encumbrances	\$143,781.98	\$201,792.55
301010 Prior Years Encumbrances	(\$313,949.58)	\$2,251,397.73
301015 Current Year Encumbrances	(\$10,881,521.03)	\$2,059,262.91
311005 RFB-Restricted Fund Balance	\$0.00	\$0.00
311010 RFB-Asset Forfeiture	\$0.00	\$1,035,773.79
311020 RFB-Restricted Contributions	\$0.00	\$4,450.00
311025 RFB-Bond Retirement	\$0.00	\$0.00
311030 RFB-Public Land Corner Preserv	\$2,374.00	\$355,515.29
311035 RFB-Public Survey Monument	\$13,475.00	\$472,282.93
311040 RFB-Sheriff Inmate Services	\$0.00	\$1,642,276.50
311055 RFB-Land & Water Conservation	\$0.00	\$38,600.00
311065 RFB-West Valley City Parks&Rec	\$0.00	\$0.00
311145 RFB - OHV Distribution	\$0.00	\$0.00
311165 RFB - Pay for Success	\$0.00	\$11,500,000.00
311185 RFB-Salt Lake Council of Govts	\$0.00	\$38,735.74
311190 RFB - Gov Immunity	\$0.00	\$495,680.00
313010 CFB - For OPEB	\$0.00	\$0.00
313015 CFB - For Compensated Absences	\$0.00	\$2,207,431.21
313070 CFB-Public Safety Communication	\$0.00	\$75,855.13
313085 CFB-Pay for Success	\$0.00	\$0.00
315020 AFB-Regional Dev Projects	\$0.00	\$80,000.00
317005 Fund Balance - Unassigned	(\$12,896,683.02)	(\$46,561,746.73)
Fund 110 Total Fund Balance	(\$23,932,522.65)	(\$24,102,692.95)
Fund 110 Out of Balance	\$0.00	(\$0.00)

Salt Lake County
Balance Sheet – Governmental Funds
As Of: 07/31/2018

	Current Period	Inception-To-Date
<u>Back to Table of Contents</u>		
Fund: 110 General Fund		
<u>Assets</u>		
Fund 110		
101005 Cash-General Warrants	(\$1,357,256.04)	(\$12,567,101.98)
101010 Cash-EFTs	(\$19,398,455.91)	(\$86,101,742.71)
101015 Cash-Payroll Warrants	(\$9,901,582.17)	(\$67,879,239.57)
101020 Cash-Trans Repayment	\$0.00	\$0.00
101030 Cash-PTIF-Loc-Prop-Int	\$21,013,378.72	\$206,591,665.07
101035 Cash-Departmental Receipts	\$7,372,835.70	\$45,874,128.35
101050 Cash-Miscellaneous	(\$20,119,312.89)	(\$111,281,639.03)
101060 Cash-Restricted	\$0.00	\$608,314.70
103015 Cash-Held By Trustee-Cap Inter	\$0.00	\$0.00
103025 Cash-Held By Trustee-Bond	\$5.05	\$1,976.64
103060 Cash-TrusteeHeld-PayforSuccess	\$0.00	\$11,500,000.00
105005 Cash-Departmental Checking	\$0.00	\$0.00
105010 Cash-Petty (Imprest)	\$0.00	\$127,730.00
107005 Accrued Tax Receivable	\$0.00	\$3,501,425.78
109000 Unbilled Grant Receivable	(\$31,434.50)	(\$28,101.16)
109005 Accrued Grants Receivable	\$0.00	\$0.00
111005 A/R - Billing Default	\$759,820.54	\$1,584,943.89
111010 A/R - Billings	(\$2,191.32)	(\$9,516.79)
111040 A/R Miscellaneous Receivables	\$0.00	(\$0.00)
111050 Accrued Revenue Receivable	\$0.00	\$0.00
111115 Payroll Overpayment Receivable	(\$220.15)	\$8,904.36
115005 Accrued Interest Receivable	\$0.00	\$0.00
119005 Due From Other Fund - Default	\$0.00	\$0.00
119010 Due From Funds-Negative Cash	\$0.00	(\$0.00)
123020 Prepaid Clearing	(\$5,522.94)	(\$5,672.94)
123025 Other Prepaid Expenses	(\$187,677.04)	\$0.00
123035 Temporary Suspense	\$0.00	(\$42.00)
Fund 110 Total Assets	(\$21,857,612.95)	(\$8,073,967.39)